

§ 279.7

17 CFR Ch. II (4–1–99 Edition)

§ 279.7 Form 7-R, irrevocable appointment of agent for service of process, pleadings and other papers by non-resident general partner of investment adviser.

This form shall be filed pursuant to Rule 0-2 (§275.0-2 of this chapter) by each nonresident general partner of an investment adviser, and by each non-resident “managing agent” of an unincorporated investment adviser as defined in said Rule 0-2, which is registered or applying for registration with the Commission as investment adviser.

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form 7-R, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.8 Form ADV-E, cover page for certificate of accounting of securities and funds in possession or custody of an investment adviser.

[54 FR 32049, Aug. 4, 1989]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form ADV-E, see the List of CFR Sections Affected in the Finding Aids section of this volume.

§ 279.9 Form ADV-Y2K.

This form must be filed pursuant to §275.204-5 of this chapter by certain investment advisers.

[63 FR 54312, Oct. 8, 1998]

EDITORIAL NOTE: For FEDERAL REGISTER citations affecting Form ADV-Y2K, see the List of CFR Sections Affected in the Finding Aids section of this volume.

PART 281—INTERPRETATIVE RELEASES RELATING TO CORPORATE REORGANIZATIONS UNDER CHAPTER X OF THE BANKRUPTCY ACT

Subject	Release No.	Date	Fed. Reg. Vol. and Page
Letter of the Commission with respect to transmission to the Commission of all petitions, answers, orders, applications, reports and other papers filed under Chapter X of the Bankruptcy Act.	1	Sept. 26, 1938 ..	11 FR 10997.
Statement by the Commission summarizing Chapter X of the Bankruptcy Act.	2do	11 FR 10998.

PART 285—RULES AND REGULATIONS PURSUANT TO SECTION 15(a) OF THE BRETTON WOODS AGREEMENTS ACT

Sec.

285.1 Applicability of part.

285.2 Periodic reports.

285.3 Reports with respect to proposed distribution of primary obligations.

285.4 Preparation and filing of reports.

SCHEDULE A TO PART 285

AUTHORITY: Secs. 19, 23, 48 Stat. 85, as amended, 901, as amended, sec. 15, 63 Stat. 298; 15 U.S.C. 77s, 78w 22 U.S.C. 286k-1.

§ 285.1 Applicability of part.

This part (Regulation BW), prescribes the reports to be filed with the Securities and Exchange Commission by the International Bank for Reconstruction and Development pursuant to section 15(a) of the Bretton Woods Agreements Act.

[Reg. BW, 15 FR 281, Jan. 17, 1950]

§ 285.2 Periodic reports.

(a) Within 45 days after the end of each of its fiscal quarters, the Bank shall file with the Commission the following information:

(1) Information as to any purchases or sales by the Bank of its primary obligations during such quarter.

(2) Copies of the Bank’s regular quarterly financial statements.

(3) Copies of any material modifications or amendments during such quarter of any exhibits (other than (i) constituent documents defining the rights of holders of securities of other issuers guaranteed by the Bank and (ii) loan and guaranty agreements to which the Bank is a party) previously filed with the Commission under any statute.

(b) Copies of each annual report of the Bank to its Board of Governors shall be filed with the Commission within 10 days after the submission of such report to the Board of Governors.

[20 FR 588, Jan. 27, 1955]